

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
November 2021

Aionios Capital Partners



Richard A. Smyth

Aionios Capital Partners, LLC
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(949) 432-6149
www.aionios.us

Firm Contact:
Richard A. Smyth
Chief Compliance Officer

This brochure supplement provides information about Mr. Smyth that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Smyth if you did not receive Aionios Capital Partners, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Smyth is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #2130201.

Item 2: Educational Background & Business Experience

Richard A. Smyth

Year of Birth:1967

Educational Background:

- 2019: University of California at Los Angeles: Certified Financial Planner's Program
- 2009: University of Chicago: Masters of Business Administration in General Management, Leadership, Finance, Strategy, and International Business,
- 2008: Stanford Law School: Directors' College, Executive Education in Corporate Governance
- 1990: University of Southern California: Bachelor's degree in History

Business Background:

- 05/2021 – Present Aionios Capital Partners, LLC; Managing Member & Chief Compliance Officer
- 1/2018- 5/2021 Private Consulting- Private Equity, Venture Capital, Asset Management
- 01/2018 – 04/2018 Santa Clara University; Adjunct Professor
- 08/2017 – 12/2017 San Jose State University; Adjunct Professor
- 03/2017 – 06/2017 University of San Francisco; Adjunct Professor
- 07/1990 – 12/2017 Franklin Templeton Investments; Vice President

Exams, Licenses & Other Professional Designations:

2000: Chartered Financial Analyst (CFA®)

The CFA® charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA® Institute — the largest global association of investment professionals. To earn the CFA® charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA® Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. The CFA® Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. The CFA® does not have mandatory continuing education requirements. To learn more about the CFA® charter, visit www.CFAinstitute.org.

Item 3: Disciplinary Information¹

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

There are no legal or disciplinary events material to the evaluation of Mr. Smyth.

Item 4: Other Business Activities

Mr. Smyth does not have any outside business activities to report.

Item 5: Additional Compensation

Mr. Smyth does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Smyth is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. He can be contacted at 949-432-6149.

Item 7: Requirements for State-Registered Advisers

Mr. Smyth has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.